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**PITCH AND TIME-SCALE MODIFICATION OF  
SPEECH: A REVIEW OF THE LITERATURE**

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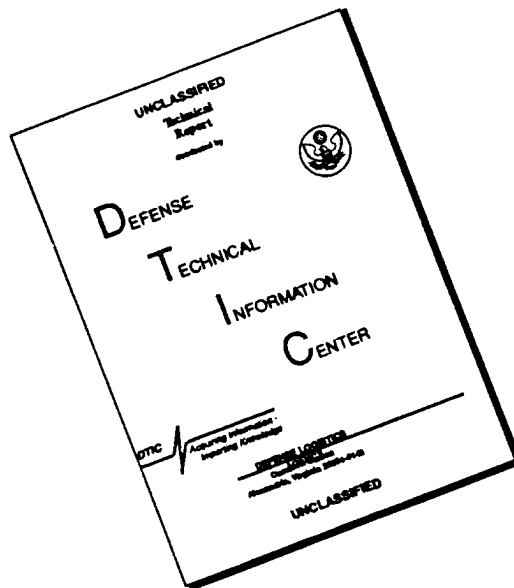
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THOMAS J. MOORE, Chief  
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## PREFACE

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# INTRODUCTION

This report summarizes the literature in the areas of pitch and time-scale modification of speech. The report primarily focuses on the pitch modification of speech. However, since some pitch modification techniques simultaneously modify the time scale of a speech signal (and vice versa), the report also briefly covers the time-scale modification literature. Based on the claims made in the literature, this report recommends four techniques for further consideration—namely, the pitch-synchronous overlap-add technique; the sinusoidal analysis/synthesis system of Quatieri and McAulay; the harmonic plus noise model of Laroche, Stylianou, and Moulines; and the time-domain harmonic scaling technique. All four techniques are capable of modifying both the pitch and the time scale of speech signals.

The problems of pitch modification and time-scale modification are perhaps best discussed in the context of a simple source/filter model of speech signals. In such a model, the speech signal is considered to be the output of a linear time-varying filter excited by a time-varying source. The time-varying source consists of two major components in changing proportions—namely, a quasiperiodic pulse train and a noise-like portion. When the source consists of mostly the pulse train component, the output speech is quasiperiodic and considered to be “voiced.” When the source consists of mostly the noise-like component, the output speech is noise-like and considered to be “unvoiced.”

In general, a segment of speech has mixed voicing; in other words, the source consists of both a quasiperiodic pulse train portion and a noise-like portion. For segments with mixed voicing, the filter applied to the noise-like portion of the source may be different from the filter applied to the quasiperiodic pulse train. Thus, a speech signal,  $s(t)$ , can be written as

$$s(t) = \int_{-\infty}^{\infty} h_t^V(\tau) u^V(t - \tau) d\tau + \int_{-\infty}^{\infty} h_t^U(\tau) u^U(t - \tau) d\tau, \quad (1)$$

where

- $u^V(t)$  is the voiced portion of the source (*i.e.*, the quasiperiodic pulse train),
- $h_t^V(t)$  is the linear time-varying filter that acts on the voiced portion of the source,
- $u^U(t)$  is the unvoiced portion of the source (*i.e.*, the noise-like portion of the source),  
and
- $h_t^U(t)$  is the linear time-varying filter that acts on the unvoiced portion of the source.

The pitch modification problem can be viewed in both a narrow sense and a wide sense. In a narrow sense, pitch modification consists of modifying the time-varying fundamental

frequency of  $u^V(t)$  while making no other changes to  $u^V(t)$ . In a wider sense, pitch modification consists of modifying the time-varying fundamental frequency while also judiciously modifying the time-varying spectral envelope of  $u^V(t)$ .

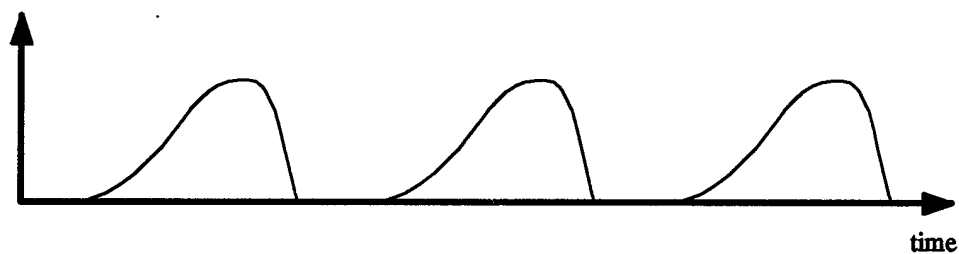
In general, the speech model of Equation 1 is not unique. The spectral shape of  $s(t)$  is partially due to the sources and partially due to the filters, and the contributions of either the sources or the filters to the overall spectral shape of the signal can be modified as long as other factors are adjusted to compensate for these modifications. In particular, one can modify the spectral shape of  $u^V(t)$  and still obtain the same  $s(t)$  provided that one modifies the spectral shape of  $h_t^V(t)$  properly. In the literature, various different shapes have been tried for  $u^V(t)$ , ranging from a simple impulse train as used in standard linear predictive coding to more complex pulse trains representing the glottal flow. An example of the latter is shown in Figure 1(a).

When  $u^V(t)$  is a simple impulse train, pitch modification consists of simply changing the spacings of the impulses. However, when the pulse shapes in  $u^V(t)$  are more complex, modifications of the pulse shape (and hence of the spectral envelope of  $u^V(t)$ ) may also be necessary. For example, Figure 1(b) shows that moving pulses close together without modifying the shape of the pulses can eliminate the closed phase of the glottal flow. (The closed phase in each period of the waveform is the portion with zero magnitude.) On the other hand, Figure 1(c) shows a number of pulses of the same fundamental frequency as those in Figure 1(b), but with the pulse shape compressed. In this case, a closed-phase portion exists for each period of the waveform. The point of this example is that the wider view of pitch modification as consisting of both modifications to the time-varying fundamental frequency and modifications to the time-varying spectral envelope of  $u^V(t)$  has certain merits. This report considers the pitch modification problem in the wider sense.

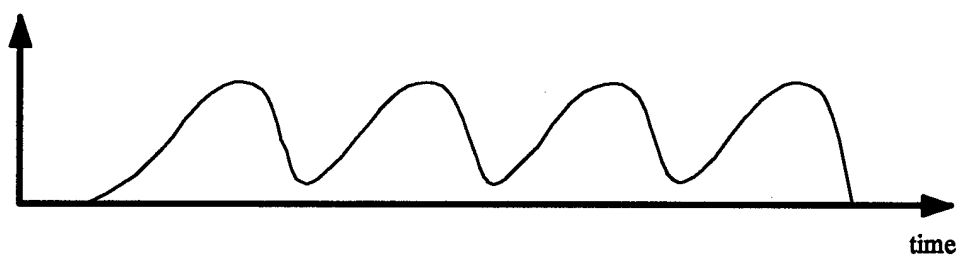
The various pitch modification techniques in the literature fall into two main categories—namely, frequency-scaling techniques and frequency-resampling techniques. Frequency-scaling techniques modify both the fundamental frequency and the spectral envelope of  $u^V(t)$ , while frequency-resampling techniques attempt to modify only the fundamental frequency of  $u^V(t)$ . The following definitions serve to delineate the two categories.

**Frequency Resampling:** The process of changing the fundamental frequency of a quasiperiodic segment of a signal without modifying the segment's time duration or its spectral envelope.

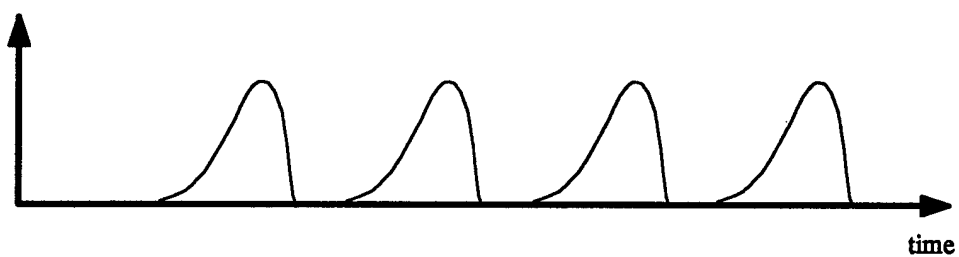
**Frequency Scaling:** The process of compressing or expanding the spectrum of a signal without modifying the time duration of the signal. In other words, frequency-scale modification is the process of compressing or expanding the short-time Fourier transform (STFT) of a speech signal only along the frequency axis.



(a)



(b)



(c)

Figure 1: Source Waveforms Showing (a) Plot of the Glottal Flow for a Voiced Phoneme, (b) Pitch Modification of the Original Glottal Flow Waveform Accomplished by Overlapping and Adding the Individual Pulses, and (c) Pitch Modification of the Original Glottal Flow Waveform Accomplished by Compressing the Pulses in Time

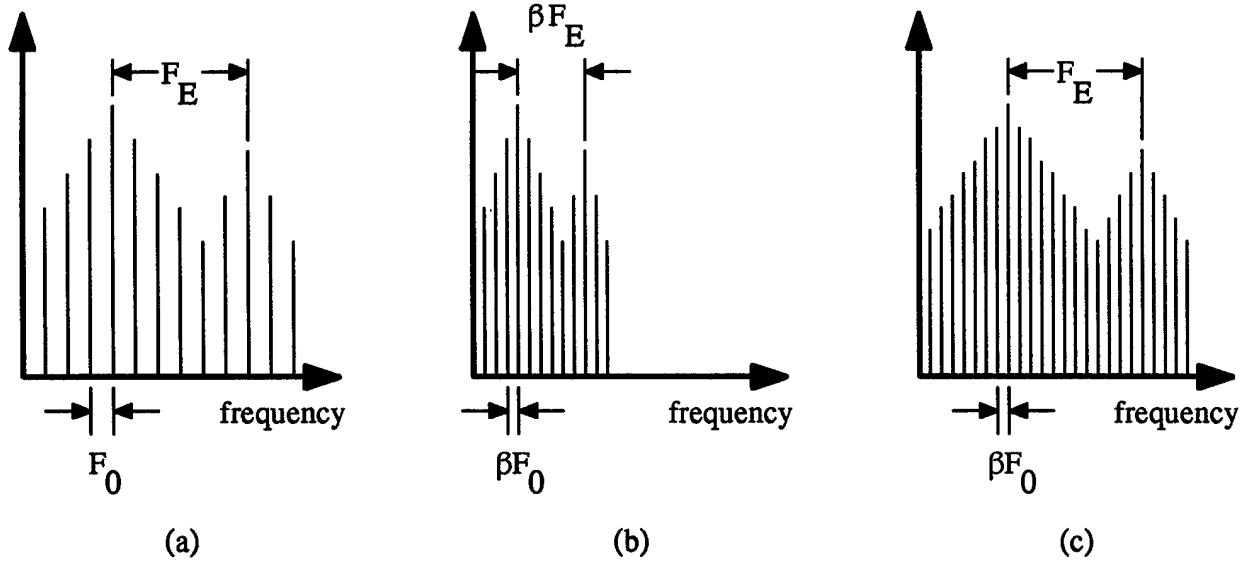


Figure 2: Spectra of (a) an Unmodified Signal, (b) a Signal Frequency-Scaled by a Factor of  $\beta$ , and (c) a Signal After Application of Frequency Resampling by a Factor of  $\beta$

Note that it is not clear how closely the spectral envelope modifications of frequency scaling resemble those required to properly transform  $u^V(t)$ .

Figure 2 illustrates the difference between frequency resampling and frequency scaling. Figure 2(a) shows the spectrum of an unmodified periodic signal. The spectral envelope of the signal contains two peaks with a frequency spacing of  $F_E$ . The fundamental frequency is  $F_0$ . Scaling the frequency axis by a factor of  $\beta$  results in the modified spectrum shown in Figure 2(b). Here, the spacing between the two peaks of the spectral envelope is  $\beta F_E$ , and the modified fundamental frequency is  $\beta F_0$ . Thus, frequency scaling modifies both the harmonic structure and the spectral envelope of the signal. Resampling the frequency axis by a factor of  $\beta$  results in the modified spectrum shown in Figure 2(c). The modified fundamental frequency is again  $\beta F_0$ , but the spectral envelope is the same as that of the original signal. In particular, the spacing between the two peaks in the spectral envelope is the original spacing of  $F_E$ .

For completeness, a definition of the time-scale modification process is as follows.

**Time-Scale Modification:** The process of compressing or expanding the time duration of a signal without modifying the apparent local frequency content of the signal. In other words, time-scale modification is the process of compressing or expanding the STFT of a speech signal only along the time axis.

# MAJOR PITCH MODIFICATION TECHNIQUES

This section presents the major pitch modification techniques: techniques based on simple linear prediction models for speech; the multiband excitation vocoder; the time-domain harmonic scaling method and linear prediction; the sinusoidal analysis/synthesis system of Quatieri and McAulay; the harmonic plus noise model of Laroche, Stylianou, and Moulines; and the pitch-synchronous overlap-add method.

## Basic Linear Predictive Coding Models of Speech

Basic linear predictive coding (LPC) models of speech provide some of the most straightforward ways for modifying the pitch of a speech signal [1-5]. Figure 3 shows an LPC-based pitch modification method. First, the system performs LPC analysis on short segments of the original signal resulting in a set of filter coefficients for each segment and a residual signal. (Filtering the residual signal with the time-varying filter coefficients returns the original signal.) Second, the system forms a synthetic excitation signal, where the excitation signal consists of white noise for the unvoiced segments and periodic impulse trains for the voiced segments. The spacing between the impulses,  $T_0$ , varies according to the desired pitch,  $T_0 = \frac{1}{F_0}$ . Third, the system forms the pitch-modified signal by filtering the synthetic excitation signal with the time-varying linear filter formed by the LPC coefficients.

Although this method is conceptually simple and easy to implement, the output speech has a distinct synthetic quality due to the simplified excitation model [1,3-5]. This fact has prompted researchers to develop excitation models that produce more natural sounding speech. In [3], Milenkovic models the voiced-speech excitation as shown in Figure 4. Pitch modification using this excitation could be accomplished by varying the spacing between the pulses. In multipulse LPC models [6-8], the excitation consists of a small number of impulses (generally eight to 10) over each 10 msec frame. In [9], Caspers and Atal investigated two different methods for modifying the pitch of multipulse LPC speech. The first method modified the length of individual pitch periods by linearly scaling the time axis of the multipulse excitation. The second method modified the length of individual pitch periods by adding or subtracting zeros in the excitation. Both methods modified the length of the excitation, so pitch periods were added or subtracted from the excitation in order to obtain an excitation of the same length as the original excitation. Caspers and Atal found that the second pitch modification method (*i.e.*, the addition or subtraction of zeros in the excitation) introduced very little distortion, while the linear scaling of the time axis produced significantly more distortion.

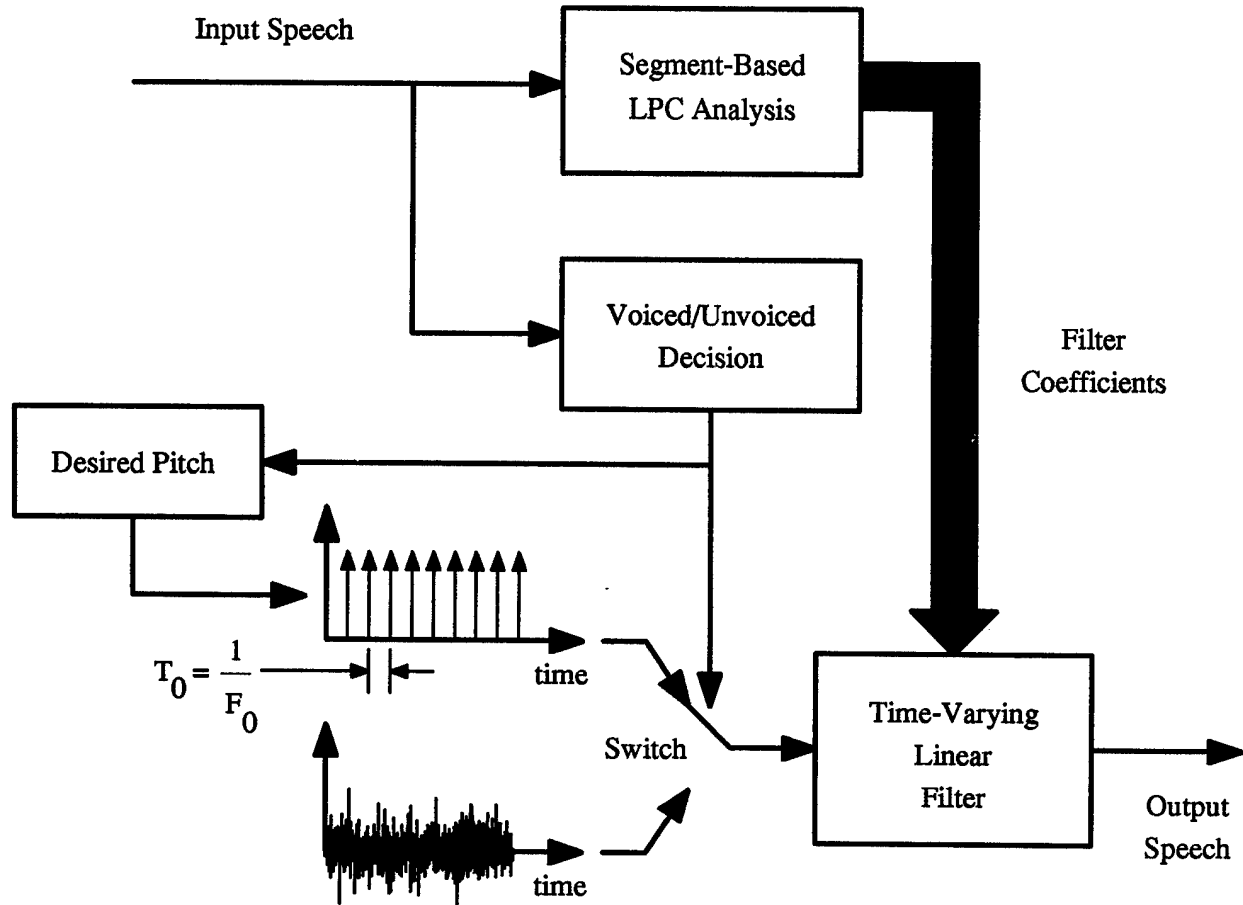


Figure 3: Pitch Modification Based on Linear Predictive Coding Analysis of the Speech Signal

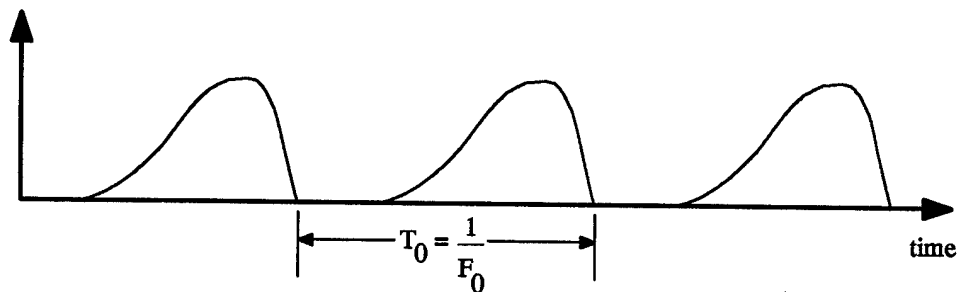


Figure 4: Sketch of the Voiced-Speech Excitation Signal Used by Milenkovic (1993)

## The Multiband Excitation Vocoder

As noted in [1,2,10], voiced-speech segments generally contain both harmonic and inharmonic components, and modeling voiced-speech segments as purely harmonic signals leads to synthetic speech with a buzzy quality. For these reasons, Griffin and Lim developed the multiband excitation (MBE) vocoder [1,2,10]. The MBE vocoder divides the spectrum of the excitation into a number of adjacent frequency bands with each band labeled as voiced or unvoiced. Thus, the voiced-speech excitation model for the MBE vocoder contains both voiced and unvoiced components.

Figure 5 shows a diagram of a pitch modification system based on the MBE vocoder. Over small speech segments, the system estimates the spectral envelope and the pitch of the speech and makes voiced/unvoiced decisions about the speech. The system divides the spectrum of the speech into several adjacent frequency bands, and makes a voiced/unvoiced decision for each band. These voiced/unvoiced decisions yield a voicing indicator function in the frequency domain, where the value is one for voiced bands and zero for unvoiced bands. Using the desired pitch, the system creates the voiced portion of the excitation by including those harmonics of the desired fundamental frequency that fall within the voiced-speech regions as indicated by the voicing indicator function. The system forms the unvoiced portion of the excitation by multiplying the spectrum of a sample white noise sequence with a function indicating the unvoiced frequency bands (*i.e.*, a function that has a value of one for unvoiced frequency bands and a value of zero for voiced frequency bands). Finally, the system forms the output speech signal by scaling the excitation spectrum (the sum of the voiced and unvoiced portions) by the estimated spectral envelope.

## Sampling-Rate Conversion and LPC

To improve the quality of speech from LPC-based systems, one can use the LPC residual signal as the excitation for the time-varying filter given by the LPC coefficients. One generally does not use the original residual as the excitation signal in coding applications, because the residual requires several bits to code. However, reducing the bit rate is of little concern in the pitch modification problem, so one can use the residual as the excitation to greatly improve the quality of the output speech.

Conversion of the sampling rate of the LPC residual of a speech signal is one way to change the pitch of the signal. Figure 6 shows a diagram of a pitch modification system based on modifying the LPC residual. Suppose that the LPC residual is originally sampled at a rate



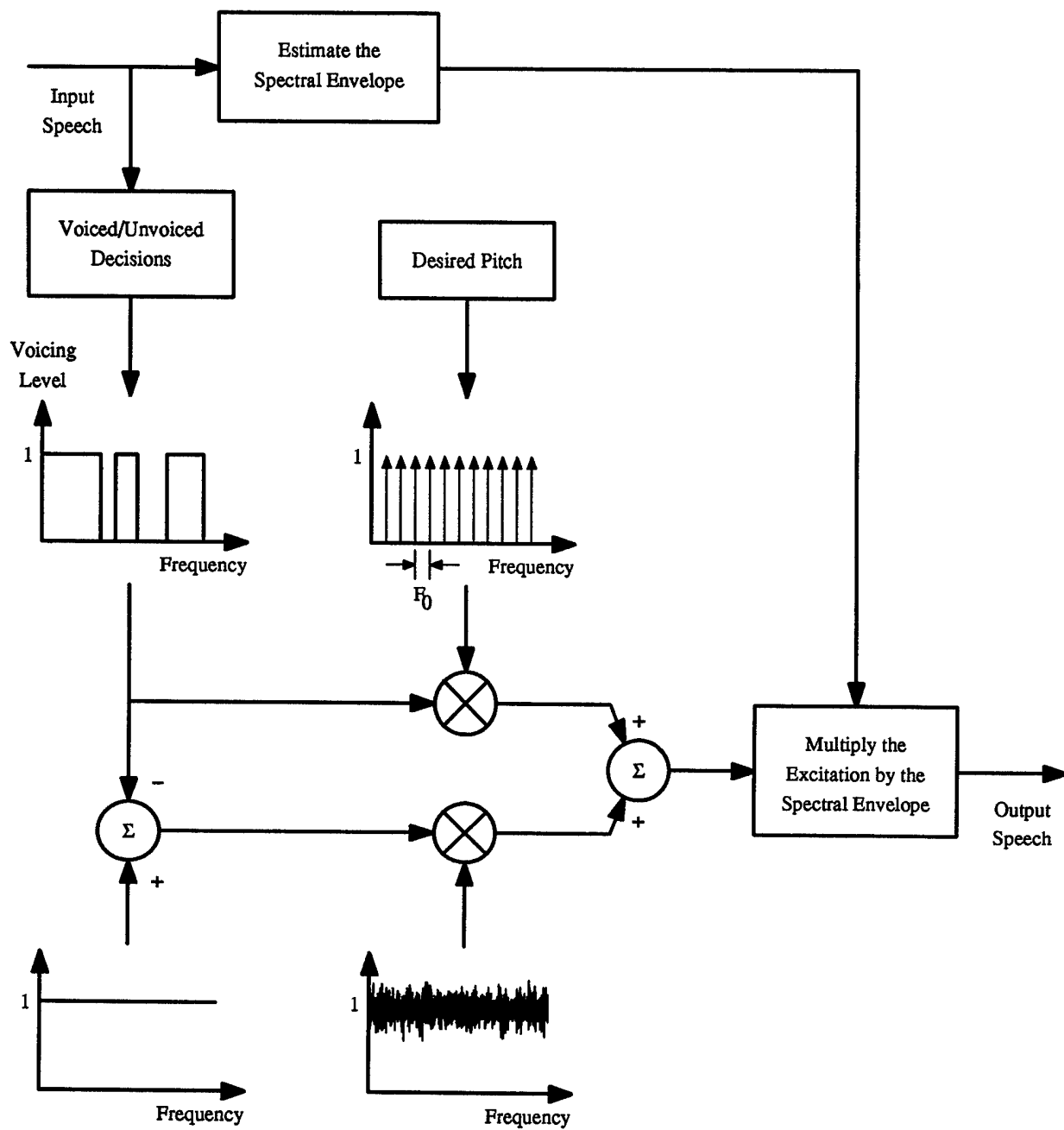


Figure 5: A Pitch Modification System Based on the Multiband Excitation Vocoder

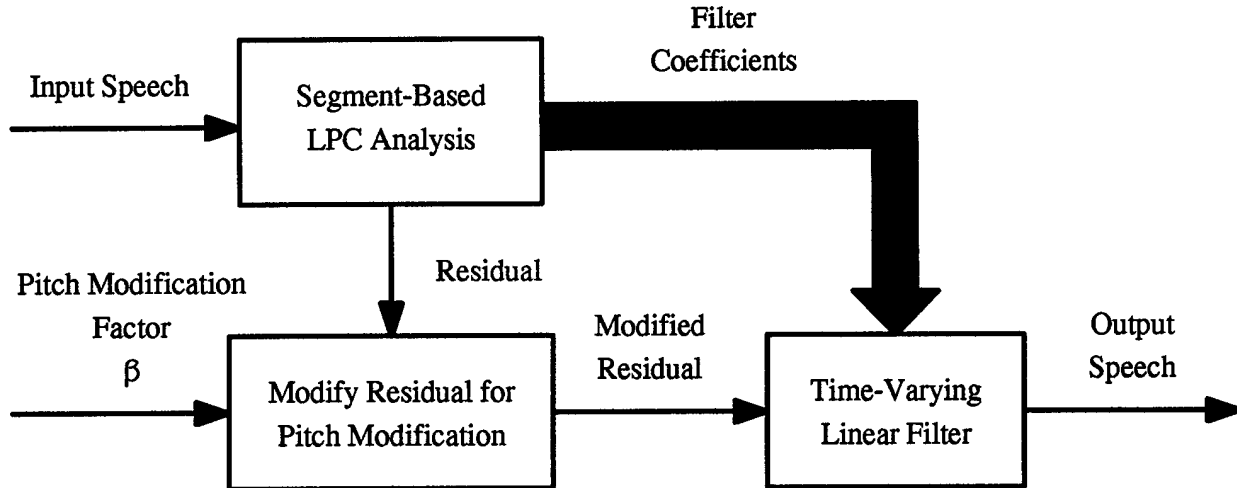


Figure 6: Diagram of a Pitch Modification System Based on Modifying the LPC Residual

of  $F_S$  in samples/second, and suppose that a system changes the sampling rate to  $F_N$ . If the system outputs points from the resampled residual at the new rate of  $F_N$  samples/second, then the output residual is a lowpass-filtered version of the original residual. However, if the system outputs points from the converted residual at the original sampling rate of  $F_S$  points/second, then the residual is scaled in frequency by the factor  $\beta = \frac{F_S}{F_N}$ . Note that this procedure changes the duration of the signal, so a time-scale modification technique must be used in conjunction with this technique. Methods for sampling-rate conversion can be found in [11–19].

There are two main drawbacks to using sampling-rate conversion as a means for pitch modification. The first drawback is that sampling-rate conversion scales the frequency response of the unvoiced portions of speech as well as the voiced portions. The second drawback is that fine time-varying pitch modification is difficult to perform using this technique.

## Time-Domain Harmonic Scaling and LPC

Another pitch modification method based on modifying the LPC residual is the technique of time-domain harmonic scaling (TDHS) [20–27]. TDHS is a general technique for frequency scaling a signal (not just the LPC residual). Techniques similar to TDHS have been developed in [28, 20].

The TDHS-based pitch modification system has the same functional form as shown in Figure 6. The processing for TDHS depends on whether one wants to expand or compress the frequency spectrum. The steps required to scale the spectrum by a factor of  $\beta$  are as follows:

- Find two relatively prime integers  $\mu$  and  $\delta$  such that  $\beta \approx \frac{\mu}{\delta}$ . Let  $C = \frac{\delta}{\mu}$ . For frequency compression,  $\mu < \delta$ , which implies that  $C > 1$ . For frequency expansion,  $\delta < \mu$ , which implies that  $C < 1$ .
- Find the pitch period,  $T_0$ , and calculate an integer,  $N_P$ , such that  $T_0 \approx N_P T$ , where  $T$  is the sampling period.  $N_P$  is the approximate number of signal samples in one pitch period.
- Calculate the following integer values:  $N = \mu N_P$  and  $N_C \approx \frac{\mu N_P}{|\delta - \mu|} = \frac{N_P}{|C - 1|}$ .
- Calculate  $T_C = \frac{T N_P}{N_C}$ .
- Define  $\alpha_C(n) \triangleq \left\lfloor \frac{n|\delta - \mu|}{\mu N_P} \right\rfloor = \left\lfloor \frac{n}{N_C} \right\rfloor$ , where  $n$  is some integer and  $\lfloor \cdot \rfloor$  denotes the floor operator.
- Define  $h_N(\cdot) \triangleq N h(\cdot)$ , where  $h(\cdot)$  is a sampled version of an analog prototype lowpass filter (usually chosen to be a triangular window).
- Calculate

$$y^{\frac{1}{C}}(nCT) = \begin{cases} \sum_{i=0}^{m-1} x(nT + \alpha_C(n)N_P T - iN_P T) h_N(iN_C T_C + (n \bmod N_C)T_C), & \beta < 1 \\ \sum_{i=1}^m x(nT - \alpha_C(n)N_P T - iN_P T) h_N(iN_C T_C - (n \bmod N_C)T_C), & \beta > 1 \end{cases}$$

where  $y^{\frac{1}{C}}(\cdot)$  is the frequency-scaled residual,  $x(\cdot)$  is the original residual, and  $m$  is a small integer (generally,  $m = 2$ ). For  $\beta < 1$ ,  $N_C$  output samples are computed for every  $N_C + N_P$  input samples. For  $\beta > 1$ ,  $N_C$  output samples are computed for every  $N_C - N_P$  input samples.

The TDHS technique is capable of both pitch modification and time-scale modification. If samples of  $y^{\frac{1}{C}}(nCT)$  are output at the rate  $\frac{1}{CT}$ , then the modified residual has a scaled bandwidth and the same duration as the original residual. If samples of  $y^{\frac{1}{C}}(nCT)$  are output at the rate  $\frac{1}{T}$ , then the modified residual has the same bandwidth as the original residual but a modified time scale compared with the original residual. Note, however, that the pitch and time-scale modifications are often approximate due to the approximations noted in the above steps.

## General STFT-Based Pitch Modification Techniques

There are many frequency scaling techniques based on the short-time Fourier transform [5, 30–44]. These techniques calculate the STFT of a signal, modify the amplitudes and

phases of the STFT, and calculate the inverse of the modified STFT to form the modified signal. We can apply these techniques to the linear prediction residual as in Figure 6 and often directly to the speech signal itself in order to modify the pitch of the speech signal. These techniques often generate reverberation and other artifacts [45]. The reverberation arises from improper phase modifications to the excitation spectrum, while some of the other artifacts arise from modifying the spectrum of the unvoiced portions of the speech signal. The next subsection outlines an STFT-based system that adjusts the phases in order to greatly reduce the degree of reverberation in the output signal.

## The Sinusoidal Analysis/Synthesis System of Quatieri and McAulay

The sinusoidal analysis/synthesis (SAS) system of Quatieri and McAulay is a versatile system for affecting time-scale, frequency-scale, and pitch modifications on speech signals [45]. Quatieri and McAulay developed the system in a series of papers [45–51], while Serra and Smith independently developed a similar system in [52].

The SAS model of the speech signal is

$$s(t) = \sum_{j=1}^{N(t)} a_j(t) M(\omega_j(t), t) \cos [\omega_j(t) (t - t_{p_i}) + \psi(\omega_j(t), t)],$$

where

- $N(t)$  is the number of frequency components at time  $t$ ,
- $\omega_j(t) = 2\pi f_j(t)$  is the  $j$ th angular-frequency component at time  $t$ ,
- $t_{p_i}$  is the most recent pitch onset time for time  $t$ ,
- $a_j(t)$  is the amplitude of the  $j$ th frequency component of the excitation at time  $t$ ,
- $M(\omega_j(t), t)$  is the magnitude of the vocal tract frequency response evaluated at the frequency  $\omega_j(t)$  for time  $t$ , and
- $\psi(\omega_j(t), t)$  is the phase of the vocal tract response evaluated at the frequency  $\omega_j(t)$  for time  $t$ .

Note that the pitch onset time is the time for which all of the excitation sinusoids add coherently prior to their modification by the vocal tract response. Also note that the pitch onset times relate to each other through the time-varying pitch period,  $T_0$ , as

$$t_{p_i} = t_{p_{i-1}} + T_0.$$

Let

$$A(\omega_j(t), t) \triangleq a_j(t)M(\omega_j(t), t), \quad (2)$$

$$\theta(\omega_j(t), t) \triangleq \omega_j(t)(t - t_{p_i}) + \psi(\omega_j(t), t), \quad (3)$$

then  $s(t)$  is more compactly written as

$$s(t) = \sum_{j=1}^{N(t)} A(\omega_j(t), t) \cos[\theta(\omega_j(t), t)].$$

The SAS system determines the various components in Equations 2 and 3 as follows. From the original speech signal, the SAS system estimates the values of  $A(\omega_j, t)$  and  $\theta(\omega_j(t), t)$ , as well as the fundamental frequency and pitch onset times. For each  $j$ , the system computes the various quantities in Equations 2 and 3 as

$$a_j(t) = 1, \quad (4)$$

$$M(\omega_j(t), t) = A(\omega_j(t), t), \quad (5)$$

$$\psi(\omega_j(t), t) = \theta(\omega_j(t), t) - \omega_j(t)(t - t_{p_i}). \quad (6)$$

To modify the pitch by a factor of  $\beta$ , the SAS system modifies the signal as follows:

$$s^M(t) = \sum_{j=1}^{\min(N(t), \lfloor \beta N(t) \rfloor)} A^M(\beta\omega_j(t), t) \cos[\theta^M(\beta\omega_j(t), t)],$$

where

$$A^M(\beta\omega_j(t), t) = a_j(t)M(\beta\omega_j(t), t), \quad (7)$$

$$\theta^M(\beta\omega_j(t), t) = \beta\omega_j(t)(t - t_{p_i}^M) + \psi(\beta\omega_j(t), t), \quad (8)$$

and the  $M$  superscript indicates a modified quantity. The modified pitch onset times are related to each other through the modified time-varying pitch period,  $T_0^M$ , as

$$t_{p_i}^M = t_{p_{i-1}}^M + T_0^M.$$

The pitch modification works as follows. The SAS system applies frequency resampling to the vocal tract components. The system is based on the assumption that the vocal tract filter is linear; therefore, the vocal tract filter outputs components of the same frequencies as those input. After pitch modification, the frequencies that enter the vocal tract filter are different from those that originally enter it. Because of this,  $M(\omega, t)$  and  $\psi(\omega, t)$  must be resampled along the frequency axis at the points  $\beta\omega_j(t)$  for  $j = 1, \dots, \min(N(t), \lfloor \beta N(t) \rfloor)$ . The SAS system modifies the amplitudes of the excitation components by frequency scaling. The

amplitude of the  $j$ th harmonic remains the same after pitch modification, but the frequency of that component is different after pitch modification. This is the reason that  $a_j(t)$  is a function of  $j$  and not of  $\omega_j(t)$ . Finally, the SAS system modifies the phases of the excitation components by aligning all of the components on a new set of pitch marks. This excitation phase modification is expressed in the  $\beta\omega_j(t)t_{p_i}^M$  term of Equation 8.

Figure 7 shows a diagram of the SAS system for pitch modification. The processing proceeds as follows.

1. Estimate the pitch,  $F_0$ , of the sampled input signal,  $s(k)$ , using the technique of [48]; here,  $k$  denotes the time index of the sampled signal.
2. The pitch period,  $T_0 = \frac{1}{F_0}$ , determines the length of a data buffer and a window,  $w$ , to be applied to the buffered data,  $s_B(k)$ . The window and buffer lengths are  $2.5T_0$ .
3. Apply the window to the buffered data, and sample the windowed data vector every 10 msec. Quatieri and McAulay found that a frame rate of 10 msec produces high-quality reconstruction.
4. Calculate the 1024-point Fast Fourier Transform (FFT) of the windowed data vector. Denote the FFT of the  $m$ th frame of data by  $S(\omega, m)$ . Here,  $\omega$  denotes radian frequency.
5. Determine the magnitude of  $S(\omega, m)$  at the center frequency of each of the 1024 bins comprising the FFT.
6. Pick the peaks of the magnitude vector, and determine the frequencies that correspond to these peaks. Denote the vector of peak magnitudes by  $A(\omega, m)$  and the vector of frequencies that correspond to the peaks of the magnitude vector by  $f(m)$ .
7. Determine the phase of  $S(\omega, m)$  at each of the frequencies given in  $f(m)$ ; denote this phase vector by  $\theta(\omega, m)$ .
8. Determine  $a_j(t)$ ,  $M(\omega, t)$ , and  $\psi(\omega, t)$  using Equations 4, 5, and 6.
9. Modify the phases and amplitudes in order to scale the pitch by a factor of  $\beta$ . This involves using Equations 7 and 8. Denote the modified phase vector by  $\theta^M(\omega, m)$  and the modified amplitude vector by  $A^M(\omega, m)$ .
10. Interpolate the amplitudes and phases on a frame-to-frame basis. This requires the matching of the frequencies of each frame with those of the next frame and uses the nearest-neighbor-based algorithm of [47].
11. Generate unit magnitude sinusoids using the frequencies and interpolated phases, then multiply each sinusoid by the corresponding interpolated amplitude.
12. Sum the sinusoids to form the pitch-modified signal,  $s^M(k)$ .

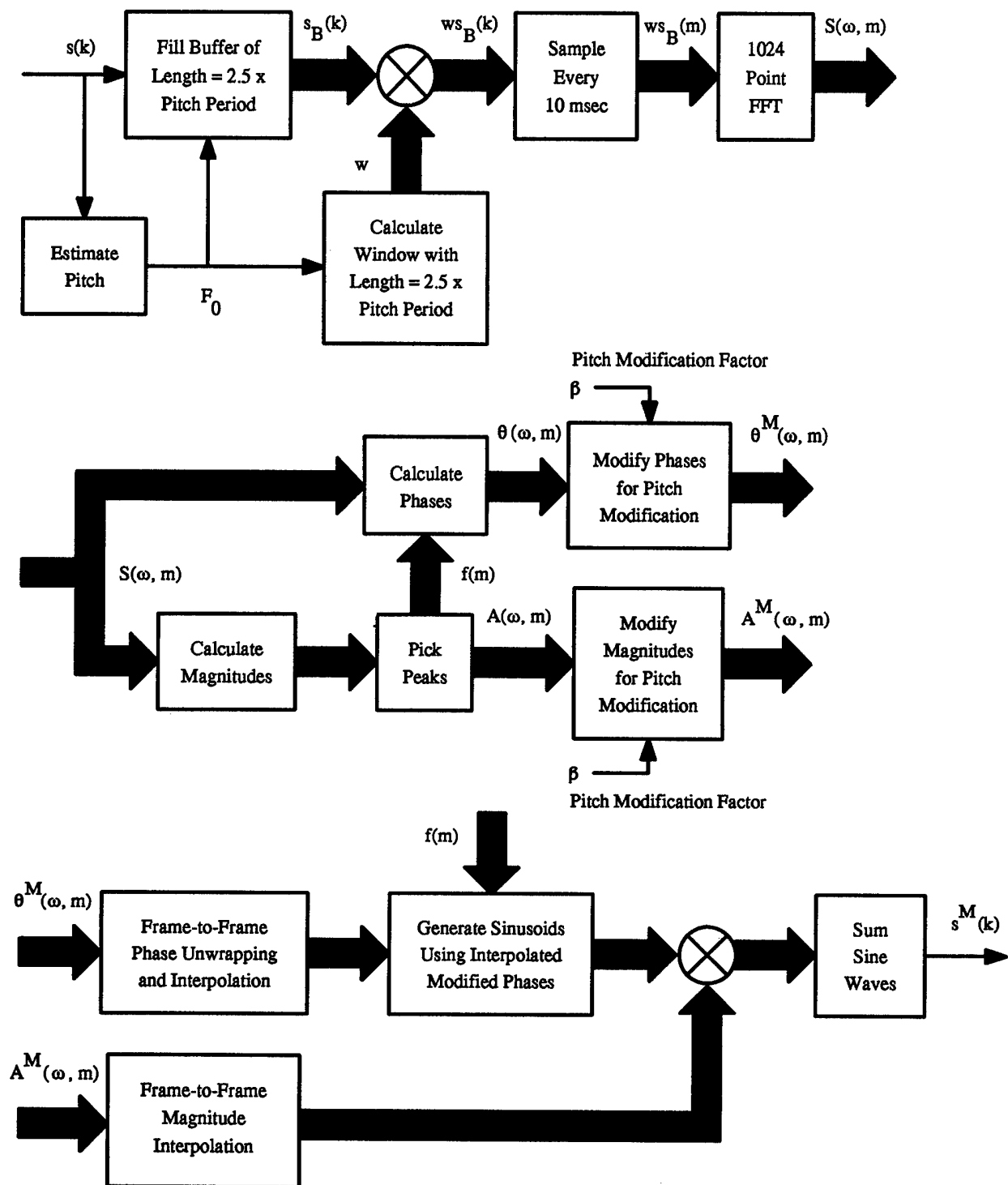


Figure 7: Pitch Modification of Speech Using the Sinusoidal Analysis/Synthesis System of Quatieri and McAulay (1992)

Quatieri and McAulay indicate in [45] that the SAS pitch modification system yields speech that is generally free of artifacts, although a certain unnatural quality is present in the unvoiced sounds due to the scaling of the unvoiced frequency components. They also indicate that some hoarseness is present for pitch modifications much greater than 20%.

## The Harmonic Plus Noise Model of Laroche, Stylianou, and Moulines

As noted in the subsection concerning the multiband excitation vocoder, modeling voiced-speech segments as purely harmonic signals results in synthesized speech with a buzzy quality. For this reason, mixed-voicing models of speech such as the multiband excitation vocoder have recently been proposed. This subsection outlines a mixed-voicing model called the harmonic plus noise model (HNM) [53], which is attributed to Laroche, Stylianou, and Moulines.

The HNM system is based on a time-varying sinusoidal model for the speech signal,  $s(t)$ . The sinusoidal model is

$$s(t) = \sum_{n=-N(t_{p_i})}^{N(t_{p_i})} A_n(t) \exp(jn\omega_0(t_{p_i})t) + s_S(t),$$

where  $N(t_{p_i})$  is the number of harmonics in the signal at pitch onset time  $t_{p_i}$ ,  $\omega_0(t_{p_i}) = 2\pi F_0$  is the radian frequency corresponding to the fundamental frequency at time  $t_{p_i}$ ,  $A_n(t)$  is the complex time-varying amplitude of the  $n$ th harmonic, and  $s_S(t)$  is a stochastic component. The complex amplitudes are of the form:

$$A_n(t) = a_n(t_{p_i}) + (t - t_{p_i}) b_n(t_{p_i}),$$

where  $a_n(t_{p_i})$  is the complex amplitude of the  $n$ th harmonic at  $t_{p_i}$  and  $b_n(t_{p_i})$  is the complex slope of the amplitude of the  $n$ th harmonic at  $t_{p_i}$ . After some algebraic manipulation, the sinusoidal model can be written in the following form:

$$\begin{aligned} s(t) = & \sum_{n=1}^{N(t)} 2 |a_n(t_{p_i})| \cos(n\omega_0(t_{p_i})t + \theta_{a_n}(t_{p_i})) \\ & + (t - t_{p_i}) \sum_{n=1}^{N(t)} 2 |b_n(t_{p_i})| \cos(n\omega_0(t_{p_i})t + \theta_{b_n}(t_{p_i})) \\ & + a_0(t_{p_i}) + (t - t_{p_i}) b_0(t_{p_i}) + s_S(t), \end{aligned}$$



where  $\theta_{a_n}(t_{p_i})$  is the phase of  $a_n(t_{p_i})$  and  $\theta_{b_n}(t_{p_i})$  is the phase of  $b_n(t_{p_i})$ . Note that  $a_0(t_{p_i})$  and  $b_0(t_{p_i})$  are both real-valued. Let

$$\begin{aligned}
\psi_{a_n}(t_{p_i}) &= \theta_{a_n}(t_{p_i}) + k\omega_0(t_{p_i})t_{p_i}, \\
\psi_{b_n}(t_{p_i}) &= \theta_{b_n}(t_{p_i}) + k\omega_0(t_{p_i})t_{p_i}, \\
B_{a_n}^C(t_{p_i}) &= 2|a_n(t_{p_i})|\cos(\psi_{a_n}(t_{p_i})), \\
B_{b_n}^C(t_{p_i}) &= 2|b_n(t_{p_i})|\cos(\psi_{b_n}(t_{p_i})), \\
B_{a_n}^S(t_{p_i}) &= -2|a_n(t_{p_i})|\sin(\psi_{a_n}(t_{p_i})), \\
B_{b_n}^S(t_{p_i}) &= -2|b_n(t_{p_i})|\sin(\psi_{b_n}(t_{p_i})),
\end{aligned} \tag{9}$$

then  $s(t)$  can be written as

$$\begin{aligned}
s(t) &= \sum_{n=1}^{N(t)} \left\{ B_{a_n}^C(t_{p_i}) + (t - t_{p_i}) B_{b_n}^C(t_{p_i}) \right\} \cos(n\omega_0(t_{p_i})(t - t_{p_i})) \\
&\quad + \sum_{n=1}^{N(t)} \left\{ B_{a_n}^S(t_{p_i}) + (t - t_{p_i}) B_{b_n}^S(t_{p_i}) \right\} \sin(n\omega_0(t_{p_i})(t - t_{p_i})) \\
&\quad + a_0(t_{p_i}) + (t - t_{p_i}) b_0(t_{p_i}) + s_S(t).
\end{aligned} \tag{10}$$

The HNM system modifies the pitch in the following manner. Let

$$\begin{aligned}
B_n^C(t) &= B_{a_n}^C(t_{p_i}) + (t - t_{p_i}) B_{b_n}^C(t_{p_i}), \\
B_n^S(t) &= B_{a_n}^S(t_{p_i}) + (t - t_{p_i}) B_{b_n}^S(t_{p_i}), \\
B_0(t) &= a_0(t_{p_i}) + (t - t_{p_i}) b_0(t_{p_i}),
\end{aligned}$$

then  $s(t)$  can be written as

$$\begin{aligned}
s(t) &= \sum_{n=1}^{N(t)} \left\{ B_n^C(t) \cos(n\omega_0(t_{p_i})(t - t_{p_i})) \right. \\
&\quad \left. + B_n^S(t) \sin(n\omega_0(t_{p_i})(t - t_{p_i})) \right\} + B_0(t) + s_S(t).
\end{aligned}$$

To modify the pitch by a factor of  $\beta$ , the HNM system forms the modified signal,  $s^M(t)$ , as

$$\begin{aligned}
s^M(t) &= \sum_{n=1}^{\min(N(t), \lfloor \beta N(t) \rfloor)} \left\{ B_n^C(t) \cos(n\beta\omega_0(t_{p_i})(t - t_{p_i}^M)) \right. \\
&\quad \left. + B_n^S(t) \sin(n\beta\omega_0(t_{p_i})(t - t_{p_i}^M)) \right\} + B_0(t) + s_S(t).
\end{aligned}$$

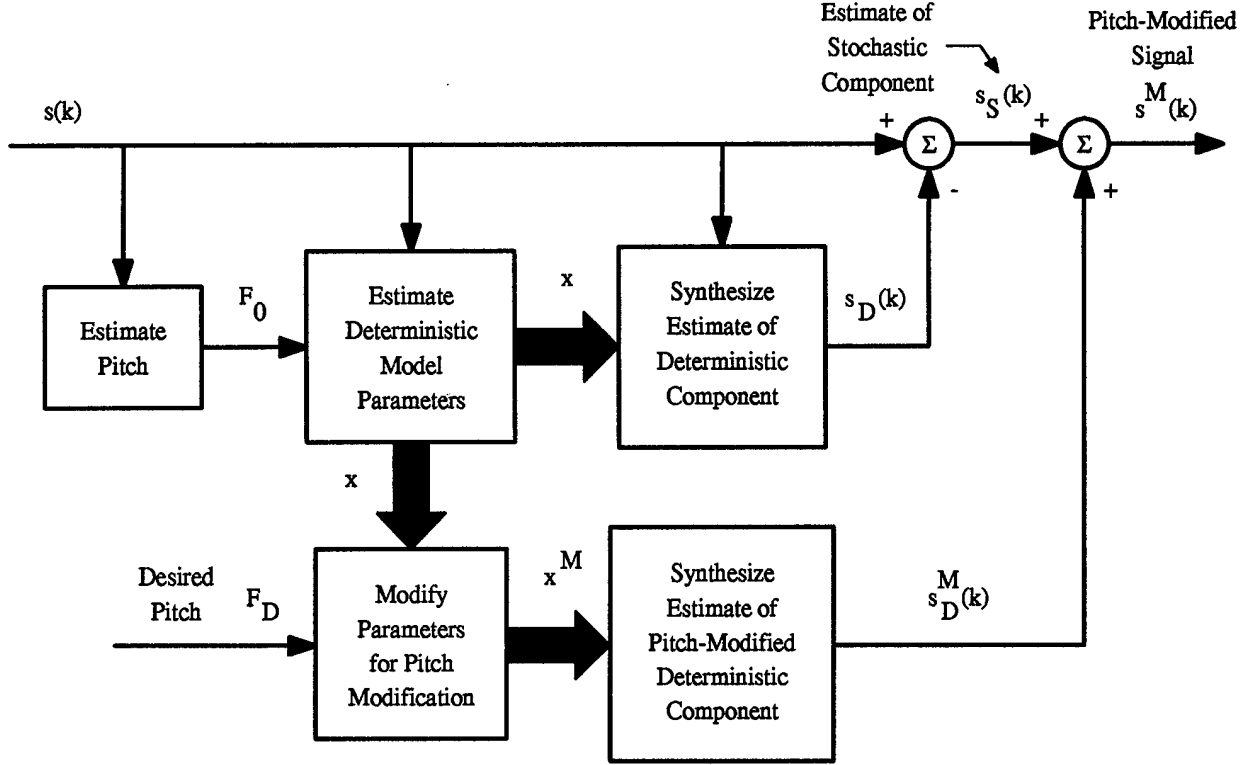


Figure 8: Pitch Modification of Speech Using the Harmonic Plus Noise Model of Laroche, Stylianou, and Moulines (1993)

Figure 8 shows a diagram of a pitch modification system based on the HNM. The system first estimates the time-varying pitch of the speech signal and the pitch onset times using, for example, the algorithm in [54]. At every pitch onset time, the system estimates the parameters of a sinusoidal model (*i.e.*, the  $B_{a_n}^C(t_{p_i})$ ,  $B_{b_n}^C(t_{p_i})$ ,  $B_{a_n}^S(t_{p_i})$ ,  $B_{b_n}^S(t_{p_i})$ ,  $a_0(t_{p_i})$ , and  $b_0(t_{p_i})$  parameters of Equation 10) of the speech over a frame of length  $2T_0$ , where  $T_0$  is the pitch period. The HNM system estimates the parameter vector,  $x$ , using a least-squares technique. The system then synthesizes the sampled estimated deterministic component,  $s_D(kT_S)$ , of the speech signal from  $x$  and subtracts  $s_D(kT_S)$  from the original signal to yield the stochastic portion of the speech signal,  $s_S(kT_S)$ . If the frame is voiced, the system modifies  $x$  in order to achieve the desired pitch modification, and it uses the modified parameter vector,  $x^M$ , to synthesize an estimate of the pitch-modified deterministic component,  $s_D^M(kT_S)$ . If the frame is unvoiced, then the system does not modify  $x$ . Finally, the system adds  $s_S(kT_S)$  and  $s_D^M(kT_S)$  to form the total pitch-modified signal,  $s^M(kT_S)$ . Note that the  $s(kT_S)$  notation is shortened to  $s(k)$  in the figure.

It is instructive to compare the HNM system and the SAS. Both systems use sinusoidal models with time-varying amplitudes. The HNM system uses a time-domain least-squares technique to estimate the model parameters, while the SAS uses a frequency-domain technique based on the STFT. The SAS modifies both the stochastic and deterministic portions

of the signal, while the HNM system modifies only the deterministic portion of the signal. Quatieri and McAulay have indicated that modifying the stochastic portion in the course of modifying the pitch often leads to artifacts in the pitch-modified speech.

One of the major drawbacks of the HNM system is its large computational burden. Using a least-squares technique, the system estimates four parameters for each harmonic (see Equation 10) plus the two offset parameters ( $a_0(t_{p_i})$  and  $b_0(t_{p_i})$ ). Least-squares problems are most accurately solved using the singular value decomposition (SVD); however, the SVD is quite computationally burdensome for large numbers of parameters. Given a male speaker with an average pitch of 100 Hz and a bandwidth (BW) of 8 kHz, the HNM system estimates on the order of  $318 \left(4 \left\lfloor \frac{\text{BW}}{F_0} \right\rfloor - 2\right)$  parameters for each pitch period. A female speaker with an average pitch of 200 Hz and a bandwidth of 8 kHz requires approximately half the number of parameters per pitch period compared to the male speaker. However, the female speaker has twice the number of pitch periods for a given time period compared to the male speaker, so the computational burden is still high for the female speaker. Of course, lowering the speech bandwidth lowers the number of harmonics present in the speech, which in turn lowers the computational burden of the HNM system for both male and female speakers; however, lowering the bandwidth also lowers the quality of the speech.

## The Pitch-Synchronous Overlap-Add Method

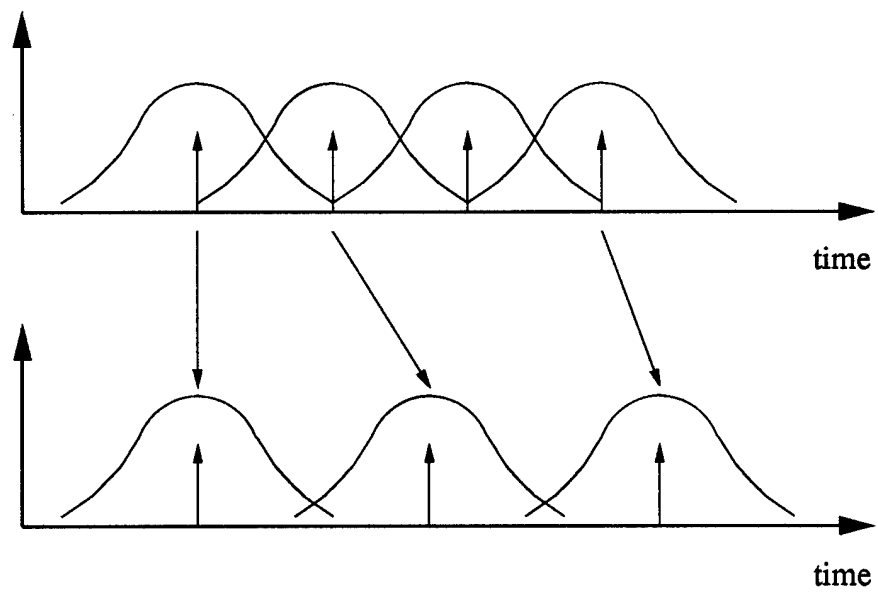
This subsection outlines the pitch-synchronous overlap-add (PSOLA) method of pitch modification [4, 55–57]. The PSOLA pitch modification technique consists of windowing segments of the original speech signal and placing these windowed segments in a (modified) output signal. In general, the speech segments have a different degree of overlap in the modified signal than they have in the original signal, and it is this change in the degree of overlap that affects the change in pitch.

The PSOLA technique builds the output signal from several windowed segments of the input signal as follows. Assume that the output signal initially consists of a zero-valued sequence, then the PSOLA process adds windowed segments of the original signal to the output one segment at a time. In performing the overlap-add (OLA) process on voiced-speech segments, the PSOLA technique makes extensive use of pitch onset times. The PSOLA technique windows the speech signal about the pitch onset times for the original signal and uses the OLA process to place the windowed segments about the pitch onset times for the output signal. The algorithm described in [54] is one popular way to estimate the pitch onset times for the original speech signal. For the output signal, one places the pitch onset times to affect the desired pitch modification.

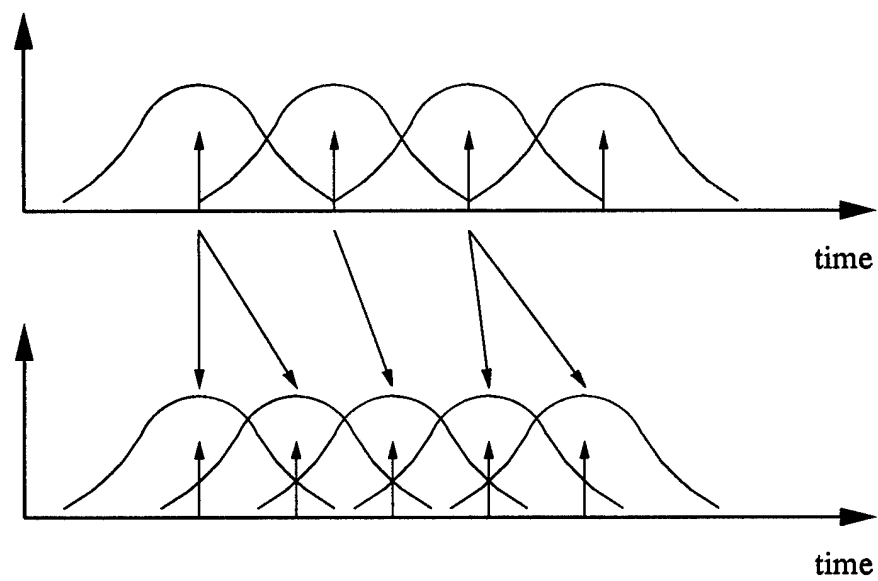
Note that in order to keep the length of the output signal approximately equal to the length of the original signal, one may need different numbers of pitch onset times for the

original and output speech signals. Figure 9 gives two examples of applying the PSOLA technique that yield different numbers of pitch onset times. Assume that the original signal has a voiced-speech segment with four pitch onset times (denoted by the small vertical arrows in Figure 9). Figure 9(a) illustrates a case where a windowed segment from the original signal is not used in the output. Figure 9(b) illustrates a case where windowed segments from the original signal are repeated in the output.

Moulines and Charpentier indicate in [4] that the PSOLA technique can introduce artifacts in the pitch-modified speech. These artifacts include tonal noises, hoarseness, and reverberation. It has been the author's experience that the PSOLA technique provides excellent quality pitch modification for pitch changes less than approximately 25%.



(a)



(b)

Figure 9: Conceptual Diagrams of the Pitch-Synchronous Overlap-Add Method for (a) Decreasing the Pitch and (b) Increasing the Pitch

# MAJOR TIME-SCALE MODIFICATION TECHNIQUES

This section very briefly discusses selected time-scale modification techniques. In particular, this section outlines basic cut-and-splice methods, the synchronized overlap-add method, and wavelet-based time-scale modification methods. Note that several methods discussed in the previous section in connection with pitch modification can also be used for time-scale modification. This section does not review those techniques; instead, the reader is referred to the discussions in the previous section and in the papers.

## Cut-and-Splice Methods

The general category of cut-and-splice methods encompasses several techniques; however, the basic ideas are as follows [58–62]. To reduce the duration of a speech signal to  $\alpha$  times the original duration, remove segments of size  $(1 - \alpha)T$  from the signal every  $T$  seconds. To expand the duration of a speech signal to  $(1 + \alpha)$  times the original duration, repeat segments of size  $\alpha T$  every  $T$  seconds. While this method is conceptually and computationally simple, it results in poor quality speech due to glitches at the segment boundaries and to the interruption of the local regularity of the speech signal [61]. In an effort to reduce these effects, Neuburg [61] proposed a system in which segments of the length of the local pitch period are discarded or repeated. Jianping [59] proposed a similar technique designed to reduce the possibility of discarding or repeating phoneme transitions. As noted in [63], these techniques often introduce a “bubbling” distortion in the output speech.

## The Synchronized Overlap-Add Method

A basic OLA method for time-scale modification consists of two steps. First, window the data every  $S_A$  points (*i.e.*, space the windows so that the distance between the beginning of a window and the beginning of the previous window is  $S_A$  points). Second, overlap and add the windowed segments such that the new spacing between the windowed segments is  $S_S$  points. Choose  $S_S < S_A$  to compress the time scale and  $S_S > S_A$  to expand the time scale.

The basic OLA method often leads to artifacts in the output speech. In an effort to reduce these artifacts, several researchers have investigated the use of the synchronized overlap-add (SOLA) method [64–69]. The SOLA technique differs from the basic OLA in that the spacing of the windows for the output speech is not  $S_S$  but  $S_S + k(n)$ , where  $k(n)$  is a time-varying number of data points chosen to synchronize successive windowed data segments. For each segment number,  $n$ , choose  $k(n)$  so that the data segments add coherently; do this by finding

the  $k(n)$  that maximizes the cross correlation between adjacent windowed data segments. This process minimizes many of the glitches found in the output speech of the basic OLA method.

## Wavelet-Based Methods

Time-scale modification techniques based on wavelet analysis resemble time-scale modification techniques based on the STFT. In both cases, one transforms the speech signal, modifies the parameters of the transformed signal, and computes the inverse transform to form the output signal. Let  $S(p, \tau)$  be the wavelet transform of a signal,  $s(t)$ , then

$$S(p, \tau) = \frac{1}{\sqrt{p}} \int_{-\infty}^{\infty} g\left(\frac{t - \tau}{p}\right) s(t) dt,$$

where  $g(\cdot)$  is the analyzing wavelet chosen by the user. The wavelet transform of  $s(\alpha t)$  is related to the wavelet transform of  $s(t)$  as follows:

$$s(\alpha t) \Longleftrightarrow \frac{1}{\sqrt{\alpha}} S(\alpha p, \alpha \tau).$$

Wavelet time-scale modification techniques are discussed in [70–75].

## RECOMMENDATIONS AND CONCLUSIONS

This report has summarized the literature in the areas of pitch modification and time-scale modification of speech. Based on the claims made in the literature, this report recommends four techniques for further consideration—namely, the pitch-synchronous overlap-add technique, the sinusoidal analysis/synthesis system of Quatieri and McAulay, the harmonic plus noise model of Laroche, Stylianou, and Moulines, and the time-domain harmonic scaling technique. All four techniques are capable of modifying both the pitch and the time scale of speech signals. When used as pitch modification techniques, none of the four recommended techniques requires separate time-scale modification techniques. However, note that the time-domain harmonic scaling technique requires that the output signal be interpolated or decimated so that the data points are output at the same rate as the original speech signal. The pitch-synchronous overlap-add and time-domain harmonic scaling techniques have the advantage of requiring considerably less computation than do the sinusoidal analysis/synthesis system of Quatieri and McAulay and the harmonic plus noise model of Laroche, Stylianou, and Moulines. Further research remains to determine the relative performance of the recommended techniques.



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